

JC10 Rec'd PCT/PTO 24 JUN 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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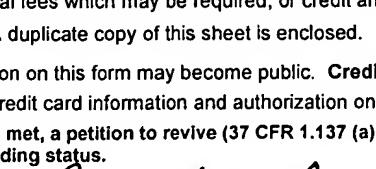
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 11885-00063-US
INTERNATIONAL APPLICATION NO. PCT/EP2003/014558	INTERNATIONAL FILING DATE 18 December 2003	PRIORITY DATE CLAIMED 30 December 2002
TITLE OF INVENTION CATHODE SYSTEMS FOR ELECTROLYTICALLY OBTAINING ALUMINUM		
APPLICANT(S) FOR DO/EO/US Manfred Banek		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: PCT Receipt for Documents, Copy-International Search Report, Form PCT/RO/101, Forms PCT/IB/301, 308 and 332, Postcard, Certificate of Express Mail</p>		

JC17 Rec'd PCT/PTO 24 JUN 2005
PTO-1390 (Rev. 02-2005)
Approved for use thru 23/12/2007. GMB 0651-2021

Approved for use through 03/31/2007 OMB 0651-0021

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U.S. APPLICATION NO. If known, see 37 CFR 1.6		INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER 11885-00063-US					
10754081P		PCT/EP2003/014558						
The following fees have been submitted								
21. <input checked="" type="checkbox"/> Basic national fee		\$300	CALCULATIONS					
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)		\$100	PTO USE ONLY					
All other situations		\$200	\$ 200.00					
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$100						
International Search Report prepared and provided to the Office		\$400						
All other situations		\$500	\$ 400.00					
TOTAL OF 21, 22 and 23 =		\$ 900.00						
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE					
30 - 100 =	/50 =		x \$250.00					
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).								
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE					
Total claims	15 - 20 =	x	\$ 0.00					
Independent claims	1 - 3 =	x	\$ 0.00					
MULTIPLE DEPENDENT CLAIM(s) (if applicable)								
TOTAL OF ABOVE CALCULATIONS = \$ 900.00								
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.								
SUBTOTAL = \$ 900.00								
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).								
TOTAL NATIONAL FEE = \$ 900.00								
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property								
TOTAL FEES ENCLOSED = \$ 900.00								
<table border="1"> <tr> <td>Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td>Amount to be charged:</td> <td>\$</td> </tr> </table>					Amount to be refunded:	\$	Amount to be charged:	\$
Amount to be refunded:	\$							
Amount to be charged:	\$							
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.								
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>03-2775</u> in the amount of \$ <u>900.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-2775</u> . A duplicate copy of this sheet is enclosed.								
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
 SIGNATURE: <u>Richard M. Beck</u> NAME: <u>Richard M. Beck</u>								
CUSTOMER NUMBER: 23416								
22,580								
REGISTRATION NUMBER								

JC17 Rec'd PCT/PTO 24 JUN 2005
PTO/SB/17 (12-04v2)

" PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032
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Effective on 12/08/2004.	
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).	
FEE TRANSMITTAL For FY 2005	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
TOTAL AMOUNT OF PAYMENT	
(\$)	
900.00	
<i>Complete if Known:</i> 107540815	
Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Manfred Banek
Examiner Name	Not Yet Assigned
Art Unit	N/A
Attorney Docket No.	
11885-00063-US	

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify): _____

Deposit Account Deposit Account Number: 03-2775 Deposit Account Name: _____ Connolly Bove Lodge & Hutz LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee
 Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 Credit any overpayments

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

<u>Application Type</u>	FILING FEES		SEARCH FEES		EXAMINATION FEES		
	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fees Paid (\$)</u>
Utility	300	150	500	250	200	100	900.00
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues) 50 25

Each independent claim over 3 (including Reissues) 200 100

Multiple dependent claims 360 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims
<u>15</u>	- 20 =	x	=	Fee (\$) Fee Paid (\$)

Indep. Claims **Extra Claims** **Fee (\$)** = **Fee Paid (\$)**

Multiple Dependent Claims

3. APPLICATION SIZE FEE

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)
30 - 100 = _____ /50 _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): **1631 Basic National Stage fee** **300.00**

Other (e.g., late filing surcharge): 1633 National Stage Examination Fee - all other ... 200.00

1642 National Stage Search Fee - search report ... 400.00

SUBMITTED BY					
Signature	<i>Richard M. Beck</i>	Registration No. (Attorney/Agent)	22,580	Telephone	(302) 658-9141
Name (Print/Type)	Richard M. Beck			Date	June 24, 2005

402718

10/540815

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 11885-00063-US

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Certificate of Express Mailing Under 37 CFR 1.10

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on June 24, 2005
Date



Signature

Amy L. Hamm

Typed or printed name of person signing Certificate

Registration Number, if applicable

(302) 658-9141

Telephone Number

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Transmittal Letter to the United States Designated-Elected Office
Fee Transmittal
Application Data Sheet
Copy of the International application with search report attached
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Copy-International Search Report
Form PCT/RO/101
Forms PCT/IB/301, 308 and 332,
English language translation of the International application
5 sheets of drawings
Executed Combined Declaration and Power of Attorney
Information Disclosure Statement, SB08, with references
Preliminary Amendment
Postcard
Charge \$900.00 to deposit account 03-2775